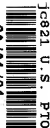


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JCS21 U.S. PTO

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PTO/SB/95 (03-01)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 2103-010926  
 First Inventor Robert J. Smith  
 Title "Container Closure With..."  
 Express Mail Label No. EL561509970US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2. ☒ Applicant claims small entity status.  
 See 37 CFR 1.27.
3. ☒ Specification [Total Pages 14]  
*(preferred arrangement set forth below)*  
 - Descriptive title of the invention  
 - Cross Reference to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to sequence listing, a table,  
 or a computer program listing appendix  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (if filed)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. Oath or Declaration [Total Pages 2]  
 a. ☒ Newly executed (original or copy)  
 Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*  
 b. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s)  
 named in the prior application, see 37 CFR  
 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
 Computer Program (Appendix)  
 8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*  
 a. ☐ Computer Readable Form (CRF)  
 b. Specification Sequence Listing on:  
 i. ☐ CD-ROM or CD-R (2 copies); or  
 ii. ☐ paper  
 c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))  
 37 CFR 3.73(b) Statement of Attorney  
 10. ☐ English Translation Document (if applicable)  
 11. ☐ Information Disclosure Statement (IDS)/PTO-1449  
 12. ☐ Copies of IDS Citations  
 13. ☐ Preliminary Amendment  
 14. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*  
 15. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*  
 16. ☐ Nonpublication Request under 35 U.S.C. 122  
 (b)(2)(B)(i). Applicant must attach form PTO/SB/35  
 or its equivalent.  
 17. ☒ Other: Express Mail Certificate (1 p.)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label 28,283  
*(Insert Customer No. or Attach bar code label here)* or ☐ Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Blynn L. Shideler	Registration No. (Attorney/Agent)	35,034
Signature	<i>Blynn L. Shideler</i>	Date	09/21/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:  
**ROBERT J. SMITH**

ATTORNEY'S DOCKET NUMBER  
**2103-010926**

ENTITLED:

**"CONTAINER CLOSURE WITH HORIZONTAL AND VERTICAL SEALS"**

Box: **Patent Application**  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**EXPRESS MAIL CERTIFICATE**

"Express Mail" Label Number EL561509970US

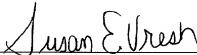
Date of Deposit September 21, 2001

I hereby certify that the following attached papers or fees

**SPECIFICATION (9 pp.); CLAIMS (4 pp., 20 claims); ABSTRACT (1 p.); 10 SHEETS OF DRAWINGS (17 Figs.); APPLICATION DATA SHEET (1 p.); UTILITY PATENT APPLICATION TRANSMITTAL (1 p.); PATENT APPLICATION TRANSMITTAL LETTER (1 p. in trip.); DECLARATION (2 pp.); RECORDAL FORM COVER SHEET (1 p.); ASSIGNMENT (1 p.); APPLICATION DATA SHEET (1 p.); CHECKS IN THE AMOUNTS OF \$790.00 (filing fee) AND \$40.00 (recordal of Assignment); AND POSTCARD.**

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Susan E. Vresh  
(Typed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

**PATENT APPLICATION TRANSMITTAL LETTER**

Commissioner for Patents  
Washington, D.C. 20231

Transmitted herewith for filing is the patent application of: Robert J. Smith

Entitled: "Container Closure With Horizontal and Vertical Seals"

Small Entity Status:

☐ Small Entity Statement under 37 CFR 1.27 is enclosed  
☐ Small Entity Status is asserted for this application under 37 CFR 1.27

Enclosed are: X 10 sheets of drawings

X An Assignment of the invention to: Erie Plastics Corporation

☐ Non-Publication Request Under 37 C.F.R. §1.213

**CLAIMS AS FILED**

	<u>No. Filed</u>	<u>No. Extra</u>	<u>Small Entity Rate</u>	<u>Non-Small Entity Rate</u>	<u>Charge</u>
Total					
Claims	<u>20</u>	<u>-20 = 0</u>	x \$ 9.00	x \$ 18.00	\$ <u>0</u>
Indep.					
Claims	<u>4</u>	<u>-3 = 1</u>	x \$ 40.00	x \$ 80.00	\$ <u>80.00</u>
Multiple Dependent Claim/s			+ \$135.00	+ \$270.00	\$ <u>0</u>
Basic Fee			+ \$355.00	+ \$710.00	\$ <u>710.00</u>
			Total of above Charges		\$ <u>790.00</u>
			Total Fee		\$ <u>790.00</u>

A check in the amount of \$ 790.00 is enclosed to cover the filing fee.

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16 or patent application processing fees under 37 CFR 1.17 associated with this communication to Deposit Account No. 23-0650. Please refund any overpayment to Deposit Account No. 23-0650.

An original and two copies of this sheet are enclosed.

September 21, 2001  
Date

By

  
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